ALTA BANKA
STABILNA, SIGURNA I JAKA

Request for opening package, RSD/FX account of the private individuals and debit card issuance

Code: OBR-213	Version: 27	Page: 1/5

APPLICANT'S GENERAL INFORMATION

First name and surname:		Parent's name:	
Unique Master Citizen Number:		Place of birth:	
Date of birth:		Personal documents: ☐ ID ☐ passport	
Personal document number:		Citizenship:	
Date of issuance:		Residence status: ☐ Resident ☐ Non-resident (Questionnaire)	
Place of issuance		Public official: ☐Yes Questionnaire) ☐ Ne	
Address of residence/place of stay in case there is no residence	Street and number:		
	City:	Municipality:	ZIP code:
E-mail:		Stationary phone:	Mobile phone:
Answer the question with YESorNO (circle the correct answer): Is the person who is establishing a business relationship with the Bank a taxpayer of the United States of America (USA) or does he/she have any connection with the USA (e.g., address of residence/stay, phone number, place of birth, citizenship, account in an American bank, power of attorney of a foreign person, insurance, green card etc.)?		**YES***	**NO**

*** Note: If the answer is YES, the client should complete the form of the Questionnaire for establishing the FATCA status**

The applicant was present at the time of submission of the documentation:
☐ Yes ☐ No

Is the account being opened through a power of attorney/ by an authorized representative?

☐ No
☐ Yes; Please state the reason for the applicant's absence: _____

ATTORNEY / REPRESENTATIVE:

Name and surname:		Name of a parent:	
Personal ID number:		Place of birth:	
Date of birth:		Data about the identification document: ☐ ID ☐ passport	
Personal identification document number:		Citizenship:	
Date of issue:		Residency status: ☐ Resident ☐Non-resident (Questionnaire)	
Place of issue:		Public official : ☐ Yes (Questionnaire) ☐No	
Address of permanent or temporary residence – if there is no permanent residence	Street and number:		
	City:	Municipality:	Post number:
E-mail:		Stationary phone:	Mobile phone:

I HEREBY SUBMIT AN APPLICATION FOR OPENING THE PACKAGE

☐ **Easy Komfor which includes:** opening, managing, and closing a RSD current account; DinaCard debit card; use of electronic and mobile banking; SMS or Push notifications for executed transactions; standing order; direct debit; as well as the option for additional services such as a foreign currency current account, personal checks, overdraft, and a basic credit card.

☐ **Smart Komfor which includes:** opening, managing, and closing RSD and foreign currency current accounts; DinaCard debit card, VISA Gold debit card; use of electronic and mobile banking; insurance and assistance services (Roadside Assistance (Serbia), Home Assistance, Doctor on Call service).

☐ **Total Komfor which includes:** managing and closing RSD and foreign currency current accounts; DinaCard debit card, VISA Gold debit card; use of electronic and mobile banking; insurance and assistance services (Travel Health Insurance; Roadside Assistance (Serbia and Europe), Home Assistance, Doctor on Call service); first five transactions via electronic and mobile banking free of charge.

☐ **Ultra Komfor which includes:** managing and closing RSD and foreign currency current accounts; DinaCard debit card, VISA Gold debit card; use of electronic and mobile banking; insurance and assistance services (Travel Health Insurance; Roadside Assistance (Serbia and Europe), Home Assistance, Doctor on Call service, Second Medical Opinion, Help with lost keys and personal documents, IT Assistance, Good Life Assistance, Legal Assistance, Medical Assistance); transactions via electronic and mobile banking free of charge.

☐ **Komfor Vibe which includes:** managing and closing RSD and foreign currency current accounts; DinaCard debit card, VISA Classic debit card; use of electronic and mobile banking.

I AM HEREBY SUBMITTING THE REQUEST FOR OBTAINING THE FOLLOWING PRODUCTS/SERVICES

RSD payment current account (tick the account)	☐for receiving earnings ☐basic ☐for receiving pension ☐for payment card servicing ☐without regular inflow
FX payment current account	☐ EUR ☐ CHF ☐USD ☐ other
Debit card	☐DinaCard☐ VISA Classic ☐VISA Gold

PLEASE STATE THE DESIRED FORM OF YOUR FIRST NAME AND SURNAME ON THE CARD(up to 24 characters)

Request for Homebanking/Mbanking/SMS	☐Homebanking/WebBanking☐ Mobile banking☐ SMS notification
Savings term account:	☐ RSD ☐ EUR ☐ CHF ☐USD ☐others
Specific-purpose account	☐ RSD ☐ EUR Specific purpose:

ORIGIN OF FUNDS

☐earnings ☐ pension ☐ scholarship☐inflow from abroad☐gift or inheritance☐other: ☐savings

EMPLOYMENT INFORMATION

☐Employed ☐Owner of a business entity ☐ Student ☐Entrepreneur ☐ Pensioner ☐Farmer ☐ Unemployed

INFORMATION ABOUT CHANGING THE ACCOUNT

RSD payment current account (tick the account that needs to be opened)	☐for receiving earnings ☐basic ☐for receiving pension ☐for payment card servicing ☐without regular inflow

AML QUESTIONNAIRE

Purpose of business relationship:	☐1.Current account ☐3. Credit ☐5. Credit card ☐7. Other	☐2. Current foreign currency account ☐4. Purchase of a safe deposit box ☐6. Deposit
Purpose of business relationship with Bank:	☐1.Receiving earnings ☐3. Receiving pension ☐ 5. Receiving social assistance and other benefits ☐7. Account for occasional payments	☐2. Savings ☐4. Receiving scholarship ☐6. Using safe deposit box
Origin of assets that will be the subject of the business relationship:	☐1. Earnings ☐3. Social assitance and other benefits ☐5. Income abroad from a family member ☐7. inheritance ☐9. Personal transfer	☐ 2. Pension ☐ 4. Income from capital (profit, dividend) ☐ 6. Sale of real estate ☐ 8. Gift ☐10. Other

Amount of expected cash transactions from the bank account (in EUR): _____	Expected amount of expected total monthly turnover through the Bank's account (in EUR): _____	Expected amount of expected turnover with the rest of the world (in EUR): _____

If you expect income from abroad, please name with which countries: _____

MANNER OF SUBMITTING STATEMENTS

☐by mail, to the home address	☐ electornically, to an e-mail registered in the Bank`s system

NOTIFICATION ABOUT PERSONAL DATA PROCESSING

Pursuant to the Law on Personal Data Protection (hereinafter: the Law), ALTA banka a.d. Beograd (hereinafter: the Bank) notifies the applicant that for the purpose of establishing a business/contractual relationship for which the request has been submitted it will process his/her personal data supplied to the Bank pursuant to the Law and the General Information on Personal Data Processing.

By his/her signature, the applicant hereby ackowledges the receipt of the document General Information on Personal Data processing and being familiar with the fact that the Bank has ensured the availability of this document on its webpage and in the Bank's premises.

CONSENT FOR WITHDRAWAL OF CREDIT BUREAU DATA

I consent to ALTA Bank a.d. Belgrade using my data from this Application and obtaining a report from the Credit Bureau at the Association of Serbian Banks regarding my personal use of banking services for the purpose of sending product offers. The Bank does not charge a separate fee for obtaining this report for the purpose of sending offers. I am aware that I have the right to withdraw this consent at any time, and that the withdrawal of consent does not affect the lawfulness of processing carried out based on the consent before its withdrawal.

☐I agree ☐ I do not agree

Signature of the applicant _____

DEPOSIT INSURANCE

Pursuant to Article 7 of the Rule Book on the method of providing and the content of information about deposit insurance provided by banks to their clients ("Official Gazette of the Republic of Serbia", No. 94/2015). I hereby state that through the Bank I have been informed about the basic characteristics of the deposit insurance system in the Republic of Serbia and that I have received a free copoy of the brochure about deposti insurance prepared by the Deposit Insurance Agency.

Applicant's signature _____

APPLICANT'S STATEMENTS

By signing, under full criminal and material liability, I confirm that:

- I am establishing a business relationship with ALTA Bank a.d. Belgrade (hereinafter: the Bank) in my own name and on my own behalf;
- I am aware of and agree with the General Terms and Conditions of Business with Individuals, Entrepreneurs, and Farmers of ALTA Bank a.d. Belgrade;
- I am aware of and agree with the General Terms and Conditions for the Provision of Payment Services of ALTA Bank a.d. Belgrade;
- All the information provided in the Application is accurate, the submitted documents are original, valid, and authentic, and I authorize the Bank to verify them and/or request additional information;
- I will notify the Bank of any changes to my personal data within three days of the change.

I acknowledge that I have been provided with the following pre-contractual documentation for opening current accounts/packages:

- Draft Agreement for the current account/package as a proposal for its conclusion, with which I fully agree;
- Mandatory elements of the framework agreement in the form of draft agreements (RSD and foreign currency accounts, package, Dina and Visa cards, e-banking);
- Overview of services and fees - PUN;
- Fee tariff for services – private individuals– Annex 1;
- Cut off time schedule – Annex 2;
- Extract from the Decision on Interest Rates for Transactions with Individuals – Annex 3;
- General information on personal data processing, and I agree that the Bank may process my data provided in this application in connection with the business relationship to which this Application relates;
- Deposit insurance brochure;
- General Terms and Conditions for the Payment Services Provision of ALTA Bank a.d. Belgrade.

I agree that the pre-contractual documentation for opening a current account/package is delivered to my email address:

☐ I agree
☐ I do not agree

I confirm that I have been provided with the following pre-contractual documentation for opening sight/term/special-purpose deposits/safe rental:

- Draft agreement for sight/term/special-purpose deposits/safe rental as a proposal for its conclusion, with which I fully agree;
- Offer/Form 1a Basic Information on the Deposit for sight/term/special-purpose deposits.

I am aware that ALTA Bank a.d. Belgrade and/or correspondent banks align their operations with decisions or regulations issued by the UN Security Council, UK, European Union, and the U.S. Department of the Treasury, and that on this basis they may refuse to execute or may block any transaction if it is determined that the persons/goods/services.

STATEMENTS ABOUT THE PUBLIC OFFICIAL STATUS

By signing this statement, taking full material and criminal responsibility, I hereby declare the following			
1	I am a public official	☐Yes	☐No
2	I am a member of the close family of a public official	☐Yes	☐No
3	I am a close/immediate associate of a public official	☐Yes	☐No

1) **A foreign public official is a private individual currently performing or having performed in the past four years a high public function in a foreign country** as follows:

1) Head of the state/government, member of the government and his/her deputy,
2) Appointed representative of a legislative body,
3) Judge of the supreme and constitutional court or other high-level legal body against whose decision, except for extraordinary cases, it is impossible to apply regular or extraordinary legal remedies,
4) Member of the accounting court and/or supreme auditing institution and members of the management bodies of the central bank,
5) Ambassador, charge d'affairs and high officer of armed forces,
6) Member of the management and supervision body of the legal entity in the majority ownership of a foreign country,
7) Member of the management body of a political party;

2) **An international organization official is a private individual currently performing or having performed in the past four years a high public function in an international organization** as follows:

1) Director,
2) Deputy Director,
3) Member of the management body, or
4) Other equivalent function in an international organization;

- **An official of the Republic of Serbiais a private individual currently performing or having performed in the past four years a high public function in our country,** as follows:

1) President of the state, president of the Government, minister, state secretary, special ministerial advisor, deputy minister, secretary in the ministry, director of a body within the ministry and his/her assistants, and director of a special organization and his/her deputy and assistants,
2) Member of the Parliament,
3) Judges of the Supreme Court of Cassation, the Commercial Appellate Court and the Constitutional Court,
4) President, vice president and member of the Council of the State Auditing Institution,
5) Governor, vice governor and member of the Council of the Governor of the National Bank of Serbia,
6) Person holding a high position in the diplomatic/consular representative offices (ambassador, general consul, charge d'affaires),
7) Member of the management body in a public enterprise or a business entity in the majority ownership of the state,
8) Member of the management body of a political party.

- **A close family member of a public official is:**

1) a spouse or common-law partner, parents, brothers and sisters, children, adopted children and stepchildren, and their spouses or common-law partners;

- **A close associate of a public official is:**

1) an individual who shares profits from property or an established business relationship with the public official, or has any other close business relationships with the official (e.g., an individual who is the formal owner of a legal entity or foreign law entity, while the actual benefit is received by the public official).

Request application date: _____ Request application place: _____

Applicant's signature: _____

Request received by: _____
(Employee's first name and surname)

_____
(Employee's first name and surname)